

## **EXHIBIT A**

BANQUE FRANCK, GALLAND & CIE SA

JOHNSON FINANCIAL GROUP

Q3044

LEHMAN BROTHERS FINANCE S.A.  
Talstrasse 82  
8021 ZURICH

Geneva, September 18, 2009-09-18  
JB/683

Dear Sirs,

We have been requested by one of our clients to file a claim in order to obtain a redemption or a compensation on the following assets :

-1'000- certificates Lehman/Nestlé 2005/30.12.2008 Isin XS0238679079  
-8'000- certificates Lehman/Novartis 2005/30.12.2008 Isin XS0238681307

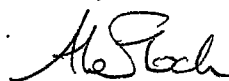
which are currently in deposit with Clearstream, Luxembourg for our account.

We kindly request you to inform us about the procedure to be applied in order to safeguard the interests of our client.

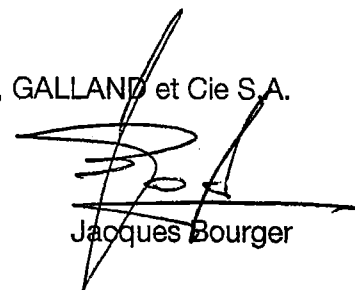
We thank you to answer directly to our Compliance department to the attention of Mr Jacques Bourger.

Sincerely,

Banque FRANCK, GALLAND et Cie S.A.



Anne Le Floch



Jacques Bourger

LEHMAN BROTHERS

24. 09. 2009

Banque Franck, Galland & Cie SA  
1, Rue Rodolphe Toepfer  
CP 3254  
1211 Geneva

REÇU le  
28 SEP. 2009

**Re: Documentation request; warrant claim**

Dear Ms. Le Floch, Dear Mr. Bourger,

We have received a claim from you in regard to two warrants:

ISIN: XS0238679079

ISIN XS0238681307

In order to verify your claim we would need the following documentation:

- Bank statement showing that the warrants are held by Banque Franck, Galland & Cie SA
- Document, describing the warrant (also known as prospectus or final terms)

Many thanks for your collaboration in this matter.

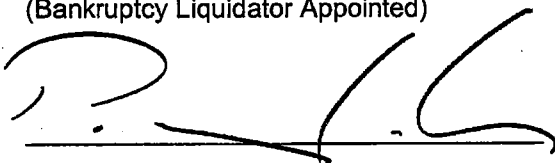
For further details regarding the bankruptcy and other relevant information in connection to LBF, please refer to the PricewaterhouseCoopers webpage at <http://www.pwc.ch/lbf>.

Sincerely yours,

For and on behalf of

Lehman Brothers Finance AG in Liquidation

(Bankruptcy Liquidator Appointed)



Mr. Pascal Portmann and Mrs. Christiana Suhr Brunner

Partners of PricewaterhouseCoopers AG

PricewaterhouseCoopers AG as appointed bankruptcy liquidator of Lehman Brothers Finance AG in Liquidation

*The Bankruptcy Liquidator acts as agent of Lehman Brothers Finance AG without personal liability.*

BANQUE FRANK & GALLAND & CIE SA

JOHNSON FINANCIAL GROUP

Lehman Brothers Finance AG  
in liquidation  
c/o PricewaterhouseCoopers AG  
Talstrasse 82  
PO Box 2828  
8021 Zurich

Attn. Mr. Pascal Portmann  
And Mrs. Christiana Suhr Brunner

Geneva, October 5, 2009  
JB/alf

**Re. Documentation request; warrant claim**  
**ISIN: XS0238 679 079 Lehman Bros Certificate Nestle**  
**ISIN: XS0238 681 307 Lehman Bros Certificate Novartis**

Dear Mrs. Suhr Brunner,  
Dear Mr. Portmann,

As requested in your letter of September 24, please find, herewith, the following documents:

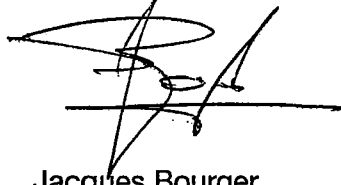
- Clearstream statements showing that we hold 8,000 Certificates on Novartis and 1,000 Certificates on Nestlé;
- Certificate Plus on Novartis: Terms and conditions of 20<sup>th</sup> December 2005;
- Certificate Plus on Nestle: Terms and conditions of 30<sup>th</sup> June 2008.

Yours truly,

Banque Franck, Galland & Cie S.A.



Anne Le Floch



Jacques Bourger

LEHMAN BROTHERS

REC 11 1e

- 2 NOV. 2009

by mail

To: Banque Franck, Galland & Cie SA  
1, Rue Rodolphe Toepfer  
CP 3254  
1211 Geneva

28.10.2009

**Warrant Claim, Documentation, Filing with Correct Lehman Brothers Entity**

Dear Ms. Le Floch, Dear Mr. Bourger,

We have received your claim in regard to the following warrants.

ISIN XS0238679079

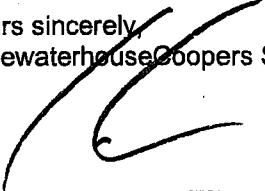
ISIN XS0238681307

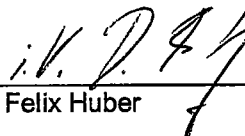
We would like to ask you to review the documentation on your claim and ensure that you filed your claim with the correct Lehman Brothers entity. Please note that Lehman Brothers Finance SA only can recognise claims of issued products in regard to which the issuer is Lehman Brothers Finance SA.

Please provide us with the final terms or pricing supplement (legally binding document describing the issued product) and dated bank statement proving that you are the legally entitled holder of the issued products.

Please note that although we acknowledge receipt of your claim, no admission is made as to the validity of any claims that have been served or as to the calculation of any amount specified in such claims.

Yours sincerely,  
PricewaterhouseCoopers SA

  
Christiana Suhr Brunner

  
Felix Huber

*The Bankruptcy Liquidator acts as agent of Lehman Brothers Finance AG without personal liability.*



RE: warrant claim to be filed with LB Nederland

Nadia Casanova

A :

alefloch@bfranck.com

05.11.2009 09:39

Cc :

Migmar Tashi, "claudio.bitzi@ch.pwc.com", "veronique.manavi-besson@ch.pwc.com"

Masquer les détails

De : Nadia Casanova <nadia.casanova@lbf-inliq.ch>

A : "alefloch@bfranck.com" <alefloch@bfranck.com>

Cc : Migmar Tashi <mtashi@lbf-inliq.ch>, "claudio.bitzi@ch.pwc.com"

<claudio.bitzi@ch.pwc.com>, "veronique.manavi-besson@ch.pwc.com"

<veronique.manavi-besson@ch.pwc.com>

Historique : Ce message a été transféré.

2 Attachments



ATT00001.gif ATT00002.gif

Dear Ms. Floch,

please find the information in regard to Lehman Brothers Treasury Co BV below.

On below link you will find information in regard to the filing of claims on the basis of the EMTN issuance programme an email address and the post address.

<http://www.lehmanbrotherstreasury.com/index.html>

[info.lbtreasurybv@houthoff.com](mailto:info.lbtreasurybv@houthoff.com).

Postanschrift:

Houthoff Buruma N.V.

zu Händen von Herrn Benno Verburgt und Herrn Michiel Horck

Postfach 75505

1070 AM Amsterdam

Niederlande

Kind regards

Nadia Casanova

Nadia Casanova  
Lehman Brothers Finance, S.A. (in bankruptcy liquidation)  
Talstrasse 82, CH-8021 Zurich, Switzerland  
Tel: +41 44 287 88 35, [nadia.casanova@lbf-inliq.ch](mailto:nadia.casanova@lbf-inliq.ch)

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**From:** [alefloch@bfranck.com](mailto:alefloch@bfranck.com) [<mailto:alefloch@bfranck.com>]  
**Sent:** Thursday, November 05, 2009 9:37 AM  
**To:** Nadia Casanova  
**Subject:** warrant claim to be filed with LB Nederland

Dear Madam,

Following our today's conversation, I would be most grateful if you could give me the contact details for the relevant person and department with Lehman Brothers Nederland, to whom we should address our claim.

Thank you for your help.  
Best regards,



Anne Le Floch

**Banque Franck, Galland & Cie SA**  
Rue Rodolphe Toepffer 1 - CP 3254  
CH-1211 Genève 3

Tel : +41(0) 58 310 46 63 - Fax : +41(0) 58 310 44 49  
[alefloch@bfranck.com](mailto:alefloch@bfranck.com) - [www.franckgalland.com](http://www.franckgalland.com)

*Très soucieux de notre environnement, nous vous remercions  
de considérer si ce message peut être traité par voie électronique au lieu d'être imprimé.*

*Kindly take into account a desire to preserve our precious environment before deciding to print this message.*



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